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# Fracturing with Carbon Dioxide: From Microscopic Mechanism to Reservoir Application

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## Highlights

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- CO<sub>2</sub> fracturing is more effective in reservoir stimulation than water
- Multiscale mechanism of CO<sub>2</sub> fracturing was experimentally established
- 4- to 20-fold increase in tight oil production was achieved by CO<sub>2</sub> fracturing

## Context & Scale

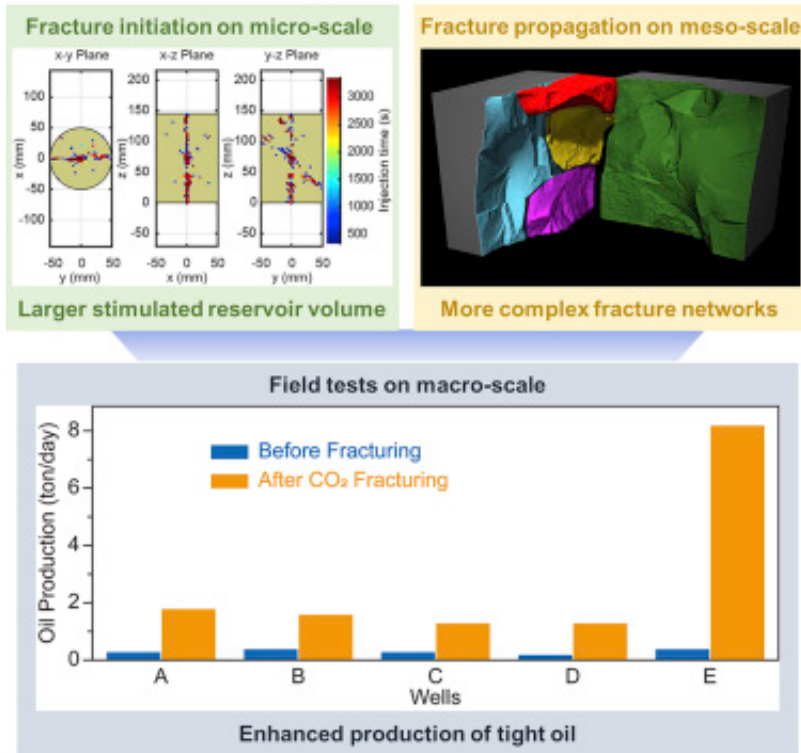
As compared with conventional gas and oil reservoirs, low porosity and low permeability are the major obstacles for the recovery of unconventional resources. Therefore, reservoir fracturing by water was generally employed to stimulate production. However, alternative fracturing fluids are highly desired because of water shortage and pollution issues; therefore, dry CO<sub>2</sub> fracturing was proposed. Our multiscale investigation, from microscopic study to field tests, demonstrated that under reservoir conditions, injection pressures can be delivered into a larger reservoir matrix by CO<sub>2</sub>, thus effectively lowering the fracturing pressure. More importantly, complex fracture networks can be generated together with greater stimulated reservoir volume. Eventually, enhanced production of unconventional resources can be achieved.

# Summary

Water fracturing is widely employed as a reservoir-stimulating technology for the recovery of unconventional oil and gas. However, the process suffers from massive water consumption and environmental concerns. Therefore, alternative fracturing fluids are desired. In recent years, fracturing with CO<sub>2</sub> was proposed to embrace multiple benefits, including carbon storage, enhanced recovery, etc. Herein, based on specially designed facilities and new analytical methodologies, we present multiscale and quantitative investigations on the fracturing mechanism and behavior of CO<sub>2</sub> and water. It was demonstrated that because of the high leak-off of CO<sub>2</sub>, shear fractures can be readily induced, which facilitated the formation of tensile and mixed fractures, leading to effective fracturing, complex networks, and greater stimulated reservoir volume. Finally, a 4- to 20- fold increase in tight oil production could be achieved by CO<sub>2</sub> fracturing in field tests with five wells.

## Graphical Abstract

## Fracturing with Carbon Dioxide



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## Keywords

[fracturing](#) • [carbon dioxide](#) • [shale gas](#) • [tight oil](#) • [field test](#)

## Introduction

Unconventional oil/gas is playing a more and more decisive role in the global energy market. In 2015, more than half of gas and oil production in North

American was contributed from shale and tight reservoirs.<sup>1</sup> The global abundance of unconventional oil/gas may shift the energy consumption structure from coal to less-carbon-intensive resources, and as concluded by several life-cycle assessments, such transformation opens up an alternative approach for decarbonization and reduction of greenhouse gas emission.<sup>2, 3, 4</sup> However, most of the unconventional oil/gas reservoirs are characterized by low porosity and low permeability, requiring reservoir stimulation technologies such as formation reconstruction to enable commercial production. Currently, water fracturing has been well established and deployed, particularly in the North American shale gas recovery. However, a huge amount of water is needed in such a process, which has already become an issue in Texas, North Dakota, Kansas, etc.<sup>5</sup> There are other concerns related to water fracturing. For example, swelling of clay minerals by water can significantly damage diffusion channels, greatly decrease the impact of water fracturing, and thus lead to poor production.<sup>5, 6</sup> Meanwhile, during the early stage of production, most of the used water and some of the underground water usually flow back to the surface, which is contaminated by hazardous substances from the fracturing additives and/or the reservoir. All of the above drawbacks made water fracturing a cost-ineffective and environmentally risky process.<sup>7, 8, 9, 10, 11</sup>

Non-aqueous fracturing could be a potential solution

to circumvent the above issues.<sup>12, 13</sup> There are several technologies proposed already, such as high-energy gas fracturing (by a rapid burning of explosives or propellant),<sup>13</sup> foam fracturing (using a gas-liquid two-phase flow),<sup>14</sup> and even liquid nitrogen gasification fracturing.<sup>15, 16</sup> Among the proposed non-aqueous fracturing fluids, CO<sub>2</sub> is of particular interests.<sup>2, 5, 17</sup> This is because (1) CO<sub>2</sub> fracturing provided a potential solution to reservoirs located at arid areas by avoiding water usage<sup>18</sup>; (2) CO<sub>2</sub> fracturing may have lower breakdown pressure due to more effective activation of the pre-existing flaws<sup>19, 20, 21, 22, 23</sup>; (3) reservoir damage by aqueous fluids in water-sensitive formations, e.g., capillary blocking, can be avoided<sup>24</sup>; (4) oil/gas recovery can be enhanced by multiple mechanisms such as increasing miscibility of hydrocarbons, lowering viscosity of heavy oil, displacement of gas adsorbed on organic matters, and improving diffusivity<sup>25, 26, 27</sup>; and (5) part of the used CO<sub>2</sub> can be simultaneously stored in the formation after fracturing, enabling green and low-carbon production of unconventional resources.<sup>28, 29, 30</sup>

CO<sub>2</sub> fracturing can also be considered as an emerging technology in the portfolio of carbon capture, utilization, and storage (CCUS), similar to the enhanced oil recovery (EOR) and the enhanced coal-bed methane recovery (ECBM). As CCUS was predicted to be indispensable to achieve the carbon reduction target set by the Paris Accord,<sup>31</sup> related technologies are developing rapidly, making CO<sub>2</sub>

fracturing cheaper and more feasible. For example, capturing CO<sub>2</sub> from industrial waste gas on a million-ton-per-year scale was recently demonstrated by the Boundary Dam CCS project and the Petro Nova CCS project.<sup>32</sup> This makes large-scale CO<sub>2</sub> fracturing a promising possibility for CO<sub>2</sub> utilization. On the other hand, as a downstream sector of carbon capture, CO<sub>2</sub> fracturing has promising potential to neutralize the cost of CO<sub>2</sub> capture.

To date, only very limited investigations on CO<sub>2</sub> fracturing were carried out. Researchers from Los Alamos National Laboratory performed systematic studies on CO<sub>2</sub> fracturing, including comprehensive analysis on fluid transport and influence on prolonged production. They predicted that CO<sub>2</sub> fracturing may be able to increase the cumulative production of shale gas by 80% in a 5-year-period. Consequently, the combinative benefits of CO<sub>2</sub> fracturing, i.e., enhancing production, CO<sub>2</sub> storage, less water dependent, etc., may considerably influence the industry of unconventional resource exploration.<sup>5, 33</sup> Ishida and co-workers found that comparing with water and liquid CO<sub>2</sub>, supercritical CO<sub>2</sub> showed lower breakdown pressure but generated fractures that extended more three-dimensionally. They attributed these benefits to the low viscosity of supercritical CO<sub>2</sub>,<sup>34</sup> which was supported by Deng and Yin's analysis based on linear elastic fracture mechanics.<sup>23</sup> A combined study of tri-axial experiments and computed tomography (CT)-scanning by Zhang and Lu et al.

also showed similar phenomena that, as compared with water fracturing, more than 50% decrease of initiation pressure and formation of more irregular cracks were achieved by CO<sub>2</sub> fracturing.<sup>20</sup>

Although the effectiveness of CO<sub>2</sub> fracturing over water is now recognized, lab experiments and field tests did not deliver positive results consistently.<sup>5, 35</sup> Fundamental understandings of CO<sub>2</sub> fracturing are still in their early infancy, and related publications are rare. In-depth knowledge from the perspective of how the unique properties of CO<sub>2</sub> can affect fracturing performance is still lacking. More importantly, the intrinsic mechanism and behavior of CO<sub>2</sub> fracturing across different length scales, as well as its comparison with water fracturing, remain the largest hindrance for practical application.

In order to fill the above blank, herein, we report a multiscale study on the comparison of water and CO<sub>2</sub> fracturing. To this end, a tri-axial fracturing rig coupled with *in situ* acoustic monitoring was specially designed, which tracks the dynamic fracturing process with unparallel time and space resolution. As such, the obtained data allowed us to perform simplified moment tensor analysis (sMTA) to quantitatively determine the fracturing mechanism. Furthermore, formation of fracture networks by CO<sub>2</sub> and water was investigated and simulated to study the difference in stimulated reservoir volume. It was found that CO<sub>2</sub> fracturing is highly effective in lowering breakthrough pressure while enhancing the



complexity of the fracture network. Such excellent behavior can be attributed to high leak-off that is closely related to the low viscosity and high mobility of CO<sub>2</sub>. The laboratory investigation was complemented by data from field tests at Jilin oil field, where the tight oil production was not altered by water fracturing but could be enhanced significantly upon CO<sub>2</sub> fracturing.

## Results and Discussion

### Micro-scale: Breakthrough Pressure during CO<sub>2</sub> and Water Fracturing

Our first attempt is to investigate the difference in using CO<sub>2</sub> and water as fracturing fluids at the microscopic level. To this end, shale outcrops from the Longmaxi formation (Chongqing, SW China) were collected and processed into cylindrical samples. During the procedure, a surface layer of at least 20 cm was removed first to minimize the effect of weathering. Measurements were conducted to verify the mechanical properties of the collected outcrops, and core samples from the same formation are similar ([Table S1](#)). After fitting a simulating well to the samples, they were submitted to fracturing experiments in a tri-axial chamber at reservoir conditions. During the process, the initiation and propagation of fractures were monitored by *in situ* detection of acoustic emission (AE) signals. At the same time, injection pressure (IP) and flow rate (FR)

of the used fracturing fluid were recorded. Detailed experimental methodologies were described in [Experimental Procedures](#) and [Supplemental Information 1 \(Figures S1–S4\)](#).

First, a water fracturing experiment was performed under a confining pressure of 20 MPa and an axial load of 2 MPa. A programmed IP ramping of 500 kPa/min was used during the entire process. Under these conditions, however, the sample stayed intact even after applying an IP of 37.0 MPa ([Figure 1A](#)). Correspondingly, the AE results showed densely concentrated events at the close vicinity of the borehole ([Figure 1B](#)). CO<sub>2</sub> fracturing under the same condition was then carried out. As showed in [Figure 1C](#), a high FR was observed at the initial injection stage because of the higher compressibility of CO<sub>2</sub>. At ca. 2,600 s, instant decrease of IP accompanied by an increase of CO<sub>2</sub> FR was observable probably because of the propagation of fractures (blue circle). Such a high FR indicated that a larger volume of fluid was needed for CO<sub>2</sub> fracturing than that of water. Breakthrough was observed at ca. 2,800 s with an IP value of 28.0 MPa, 25.1% lower than the maximum IP observed in [Figure 1A](#) for water fracturing; note that breakthrough was not achieved in the latter case. More interestingly, we noted from the AE detection that cracks generated by CO<sub>2</sub> were more widely distributed even at a lower IP ([Figure 1D](#)), indicating a larger volume of the shale matrix can be pressurized by CO<sub>2</sub>. As a control experiment, water

fracturing was carried out again by slightly increase the axial load to 5 MPa for proper sealing of the well ([Figure 1E](#)). It was found that upon injection of water (1.2 mL/min), IP built up almost linearly after an initial stage, and then suddenly decreased from 36.8 to 31.0 MPa (820 s), indicating accelerated formation of fractures. During this experiment, concentrated propagation of fractures was observed from the AE detection ([Figure 1F](#)), which eventually led to breakthrough of the sample at 38.6 MPa. This is to say that the breakthrough pressure for water fracturing is ca. 40% higher than that of CO<sub>2</sub> fracturing at similar conditions ([Figure 1C](#)). In addition, we found that by decreasing the pressure ramping rate to 250 kPa/min, the breakthrough pressure of CO<sub>2</sub> fracturing could be further lowered to 21.7 MPa, while the stimulated volume was not sacrificed ([Figures 1G and 1H](#)).

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Figure thumbnail gr1

### **Figure 1 Water and CO<sub>2</sub> Fracturing of Cylindrical Shale**

#### **Samples**

✓ [Show full caption](#)

(A and B) IP, FR, and AE accumulation (A) and fracture location (B) during water fracturing (confining pressure, 20 MPa; axial load, 2 MPa; pressure ramping, 500 kPa/min).

(C and D) IP, FR, and AE accumulation (C) and fracture location (D) during CO<sub>2</sub> fracturing (confining pressure, 20 MPa; axial load, 2 MPa; pressure ramping, 500 kPa/min).

(E and F) IP, FR, and AE accumulation (E) and fracture location (F) during water fracturing (confining pressure, 20 MPa; axial load, 5 MPa; flow rate, 1.2 mL/min).

(G and H) IP, FR, and AE accumulation (G) and fracture location (H) during CO<sub>2</sub> fracturing (confining pressure, 20 MPa; axial load, 2 MPa; pressure ramping, 250 kPa/min).

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Fracturing mechanism was further studied by submitting the AE results to sMTA as the method determines the nature of fractures quantitatively rather than the qualitative visual observation ([Supplemental Information 2; Figures S5 and S6](#)).<sup>36, 37</sup> The results showed in [Figure 2A](#) indicated that, for water fracturing, only those fractures located at the borehole showed a diversified propagation direction. However, multi-directional development of fractures was observable at different locations of the CO<sub>2</sub> fractured sample ([Figure 2B](#)), indicating the potential to generate more complex fracture networks (*vide infra*). Very interestingly, we found that for CO<sub>2</sub> fracturing, over 85% of the analyzed fractures belong to shear type, while at least 30% of the fractures are contributed by tensile and mixed type for water fracturing ([Figures 2C and 2D; Supplemental Information 3; Figures S7–S9](#)). These results are in good agreement with those from Ishida et al., who reported that more shear fractures were generated

by using fluids with lower viscosity.<sup>38</sup> According to Šílený et al. and Cornet et al., pressure delivered by geofluids in natural porosity of a formation can substantially influence the fracturing behavior. With poor mobility, simple tensile fractures are more likely to form perpendicular to the minimum principal stress direction. In contrast, if the pores can be properly pressurized, a slip of the pre-existing natural fractures can be induced and thus trigger shear cracks.<sup>39, 40</sup>

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Figure thumbnail gr2

### Figure 2 Fracturing Mechanism Analysis

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(A and B) Position resolved sMTA during (A) water and (B) CO<sub>2</sub> fracturing; filtered AE events for sMTA were indicated by circular plates where the (1) position of the events was indicated by the center of the circular plates; (2) color of the plates suggested different modes of fracturing (red-shear crack, blue-tensile crack, and green- mixed- mode); (3) arrows on the plates revealed the motion direction of cracks, and plane of the plates represented the crack surfaces (perpendicular to the crack normal vectors); and (4) diameters of the plates were proportional to the source amplitude of the corresponding AE events.

(C and D) Time resolved sMTA of water (C) and CO<sub>2</sub> (D) fracturing; color indicates fractures with different mechanisms; number in parentheses shows percentage of each mechanism.

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## Mesoscale: Fracture Networks Generated by Water and CO<sub>2</sub> Fracturing

During the fracturing of the cylindrical samples, breakthrough achieved by CO<sub>2</sub> made it possible to quantitatively evaluate the roughness of the fractured surface with high resolution by using a Zygo NewView 8300 interferometer. Several scanning locations with an area of 3,000 × 3,000 μm along the fracture path (determined by *in situ* AE detection) were selected to minimize any subjective factors. Among the analyzed areas, height differences as large as ca. 260 μm were obtained ([Supplemental Information 4](#); [Figures S10](#) and [S11](#); [Table S2](#)). It should be noted that the surface complexity obtained in our study is considerably higher than that reported by Li and Feng et al.,<sup>24</sup> probably because of different experimental conditions and intrinsic properties of the used samples.

Nevertheless, results showed in [Figure 1](#) are less favorable in reflecting the propagation of fractures because of the small size of the used samples. In this regard, larger samples (300 × 300 × 300 mm) were thus collected and fractured in a tri-axial system ([Supplemental Information 5](#); [Figures S12](#) and [S13](#); [Table S3](#)). Similar to the aforementioned results in [Figure 1](#), CO<sub>2</sub> fracturing showed lower breakthrough pressures than that of water. The post-fractured samples were characterized by 3D scanning, and the reconstructed breakthrough

surfaces are shown in [Figure 3](#) (see [Videos S1](#) and [S2](#) for network demonstrations induced by CO<sub>2</sub> and water fracturing, respectively). Clearly, readily variation of the fracture direction could be observed in CO<sub>2</sub> fracturing, resulting in a more effective connection of natural fractures and thus formation of multiple and non-planar fracture networks ([Figure 3A](#)). On the other hand, fractures generated by water terminated easily when encountering bedding planes, any changing of propagation direction from one bedding plane was quenched quickly by merging into another bedding plane, leading to simple bi-wing fractures ([Figure 3B](#)).

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Figure thumbnail gr3

### Figure 3 Reconstructed Fracturing Surface

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(A) CO<sub>2</sub> fracturing.

(B) Water fracturing.

(C) Calculation of SA<sub>FIB</sub>.

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### Video S1. Network Demonstrations Induced by CO<sub>2</sub> Fracturing

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### Video S2. Network Demonstrations Induced by Water Fracturing

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Based on the digitalization of the fractured samples, the fracturing-induced breakthrough surface areas ( $SA_{FiB}$ ) can be calculated ([Figure 3C](#)). Given perfect bi-wing splitting, an  $SA_{FiB}$  value of 1,800 cm<sup>2</sup> could be generated. Taking this value as a baseline,  $SA_{FiB}^{water}$  of water fracturing increased by only 7.4%, achieving 1,934 cm<sup>2</sup>. Because the formation of more complex fracturing network by CO<sub>2</sub>



fracturing,  $SA_{\text{FIB}}^{\text{CO}_2}$  reached a promising value of  $3,518 \text{ cm}^2$ , corresponding to 95.4% and 81.9% increasing as compared to perfect bi-wing splitting and water fracturing, respectively. These results quantitatively evidenced that higher stimulated reservoir volume (SRV) can be achieved by  $\text{CO}_2$ , which would translate to more effective fracturing and enhanced oil/gas production due to larger drainage areas. In repeated experiments, the effectiveness of  $\text{CO}_2$  fracturing was proved to be universal at different operational conditions and underground environments ([Supplemental Information 5](#); [Table S4](#); and [Figures S14–S18](#)). A general trend from these results showed that a larger *in situ* stress difference favors the formation of more complex networks.

## Macro-scale: Enhancing Production of Tight Oil by $\text{CO}_2$ Fracturing

In the above laboratory experiments, outcrops were used because the processing of core shale samples with the appropriate size and avoiding its rapid weathering is extremely difficult. Although special cautions were taken during sample collection, and the geomechanical parameters of the outcrops were comparable to core samples from the same formation ([Table S1](#) in [Supplemental Information 1](#)), the gap between laboratory observations and practical operations cannot be ruled out. To address this issue, field tests of dry  $\text{CO}_2$  fracturing were carried out in the tight oil reservoir at Jilin oil field, NE

China. The formation possesses low porosity (avg. 11.97%) and low permeance (0.63 mD) together with a low concentration of acid-sensitive minerals (e.g. chlorite), making it highly suitable for CO<sub>2</sub> fracturing. It should be mentioned that water fracturing in these reservoirs induced very limited SRV probably because of water sensitivity ([Supplemental Information 6](#); [Figure S19](#)), and thus the enhancement on production from water fracturing is negligible.

For the CO<sub>2</sub> fracturing operation, a total of five wells were drilled and tested, and a typical operational curve and general process parameters are shown in [Figure 4A](#) and [Table S5](#) ([Supplemental Information 6](#)). Each operation included five steps, namely leak testing, fracturing, proppant transporting, well closing, and production. It should be mentioned that pure CO<sub>2</sub> was employed during fracturing to achieve effective stimulation, while in the proppant transporting stage, CO<sub>2</sub> thickened with a custom-made tri-block co-polymer were used to enhance proppant carrying. Such a combination can also lower the cost and environmental footprint of the process. The used CO<sub>2</sub> during fracturing and proppant transporting stage accounts for ca. 45% and 55% of the total injected CO<sub>2</sub>, respectively.

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Figure thumbnail gr4

#### **Figure 4 Dry CO<sub>2</sub> Fracturing at Jilin Oil Field**

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(A) Variation of operational parameters during the fracturing process of well E.

(B) Oil production before and after CO<sub>2</sub> fracturing of well A–E.

(C and D) Top (C) and side (D) views of micro-seismic monitoring during the fracturing process of well E (injection point: 0 [East], 0 [North], and 1590 [Depth]). Red: injection of pad fluid; Green: injection of proppant; Blue: injection of displacement fluid.

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To our delight, oil production increased by ca. 4- to 20-fold after the entire CO<sub>2</sub> fracturing process ([Figure 4B](#)). Note that although the previous water fracturing was carried out in different wells, their influence may not be negligible. This is because during the subsequent operation with CO<sub>2</sub>, the network complexity might be enhanced because of the presence of simple but far-reaching fractures generated by previous water fracturing.<sup>41</sup> Nevertheless, as water fracturing resulted in little enhancement of production, the results showed in [Figure 4B](#) still demonstrated the great promise of CO<sub>2</sub> fracturing or, more strictly, water + CO<sub>2</sub> fracturing. We also carried out micro-seismic monitoring during the fracturing process. It was found that the SRV induced by CO<sub>2</sub> fracturing is ca. 2.5 times larger than that of water ([Figures 4C](#) and [4D](#)), which is in accordance with the laboratory

experiments. These real-world results revealed that as compared to water fracturing, CO<sub>2</sub> fracturing is an important and greener alternative, particularly for reservoirs with water-sensitive formations, located at arid areas, or other conditions that making water fracturing less applicable.

## Mechanism: The Effectiveness of CO<sub>2</sub> Fracturing

The effectiveness of CO<sub>2</sub> fracturing over that of water was also reported by others, and such results were related to the viscosity and interfacial tension of the fluids ([Supplemental Information 7](#); [Figure S20](#)).<sup>5, 34, 38, 42, 43, 44</sup> However, understandings on the in-depth mechanism were rarely reported, and direct and *in situ* evidence during the fracturing process is particularly lacking. During the preparation of this paper, fracture propagation in CO<sub>2</sub> and water fracturing was comprehensively simulated and reported by Li and Zhang.<sup>41</sup> In their work, complexity of fracture networks generated by supercritical CO<sub>2</sub> is significantly higher than that of water. Correspondingly, the artificial fracture area induced by supercritical CO<sub>2</sub> reached  $1.573 \times 10^4 \text{ m}^2$ , roughly an order of magnitude higher than that induced by water ( $2.469 \times 10^3 \text{ m}^2$ ) under the same pressure conditions. More interestingly, shear fracture accounted for more than 90% by CO<sub>2</sub> fracturing, while the contribution from shear and tensile fracturing is approximately the same for water

fracturing.

Our experimental results echo perfectly to these theoretical predictions. Based on our AE results showed in [Figure 1](#), it is very obvious that CO<sub>2</sub> has a significantly higher mobility within the shale matrix probably because of higher leak-off. This may be related to the low viscosity and low interfacial tension of CO<sub>2</sub>. Similar to those discussed by Li and Zhang from a simulation perspective,<sup>41</sup> we attribute the effectiveness of CO<sub>2</sub> fracturing (lower breakthrough pressure, complex fracture network, larger SRV, etc.) to its higher leak-off. First, breakthrough is dictated by the volume increasing rate of a fracture ( $v_{\text{frac}}$ ) and the fluid fed into that fracture ( $v_{\text{fluid}}$ ); namely, breakthrough occurs when  $v_{\text{frac}} > v_{\text{fluid}}$ . Since higher leak-off improves the delivery of IP into the shale matrix, an *in situ* stress regime can be readily altered, leading to higher  $v_{\text{frac}}$ . At the same time, higher leak-off also slows the accumulation of fluid in a local fracture and thus lowers the  $v_{\text{fluid}}$ . Secondly, high leak-off allows filling of more beddings and/or natural cracks, and shear fractures could be more easily generated at these locations because of their lower cohesion strength. Accumulation of these shear events possibly lowered the pressure barrier for the formation of tensile and mixed fractures. This behavior could be verified by the accumulation of AE events during the experiments. As can be seen in water fracturing ([Figures 1A and 1E](#)), the generation of AE events accelerated only after substantially high IP (ca. 15 MPa). In contrast, a considerable amount

of AE events already generated at IP below 10 MPa during CO<sub>2</sub> fracturing ([Figures 1C and 1G](#)). Collectively, a combination of the above two factors eventually renders CO<sub>2</sub> fracturing with lower breakthrough pressures, more complex fracture networks, and larger SRV.

An issue that should be addressed is the interaction between natural and artificial fractures, which may become increasingly pivotal at the field scale. From Li and Zhang's simulation, discrepancies in viscosity and compressibility of CO<sub>2</sub> and water could lead to very different fracturing effectiveness with the presence of natural fractures.<sup>41</sup> In order to further verify such behavior, similar modeling was carried out under conditions closer to the Longmaxi formation. From [Figure 5](#), it is clearly observable that CO<sub>2</sub> showed a greater tendency to penetrate natural cracks, leading to propagation of fractures within the rock matrix. On the other hand, cracks induced by water injection were favorably combined with natural fractures. These results are in good accordance with the experimentally observed formation of more complex networks and larger SRV by CO<sub>2</sub>.

Figure thumbnail gr5

### **Figure 5 Fracturing Simulated by ABAQUS**

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Schematic fractures were magnified by 50 times for clarity; the red circles indicate injection points.

(A–C) Fracture network during water fracturing with injection time of 5.0 s (A), 11.6 s (B), and 20.0 s (C).

(D–F) Fracture network during CO<sub>2</sub> fracturing with injection time of 5.2 s (D), 11.5 s (E), and 20.0 s (F).

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Apart from the fluid itself, practical fracturing operation can also be influenced by a range of factors, which may affect the effectiveness of CO<sub>2</sub> and water fracturing. For example, it was reported that permeability of rock matrix can be altered substantially because of factors such as fracturing-induced roughness, adsorption and desorption of guests, and so on, and thus, the overall fracturing outcome in terms of production may vary.<sup>45, 46</sup> Therefore, further investigations are needed to identify the feasibility boundary of CO<sub>2</sub> fracturing technology, particularly the effects of types of reservoirs, geomechanical properties and conditions, CO<sub>2</sub> sensitivity of the formation, and so forth.

## Conclusions

In summary, we report herein a multiscale investigation on dry CO<sub>2</sub> fracturing for the recovery of unconventional resources. It was found that as compared with the normally used water, CO<sub>2</sub> has higher leak-off within the natural porosity of the reservoir rock. This property enables better delivery

of IPs, resulting in an effective lowering of fracturing pressure. Moreover, thanks to the more accurate data collected by *in situ* AE monitoring, the fracturing mechanism can be quantitatively determined by sMTA. It was found that shear cracks were readily generated during CO<sub>2</sub> fracturing, which decreased the barrier for further formation of tensile and mixed fractures. Such microscopic behavior enabled the effective formation of more complex fracture networks on a mesoscale. We further report our observations in field tests that a 2.5 times higher stimulated reservoir volume was achieved by CO<sub>2</sub> fracturing as compared to water fracturing, resulting in a 4- to 20-fold increase in tight oil production. This disciplinary-crossing research provides comprehensive understandings on the mechanism and behavior of CO<sub>2</sub> fracturing and thus should shed meaningful lights on technologies of effective and greener recovery of unconventional resources, such as tight oil, shale gas/oil, etc.

## Experimental Procedures

### Fracturing of Cylindrical Shale and *In Situ* Acoustic Emission Monitoring

Cylindrical samples were obtained by collecting shale outcrops at Dayou Town; the formation belongs to the Lower Silurian Longmaxi marine shale



in southeastern Chongqing, SW China ([Figure S1](#)), [Table S1](#) shows the general properties of the samples. The collected samples were first processed into cylindrical form with a diameter of 100 mm and a height of ca. 200 mm. During the sample collection and processing, a surface layer of at least 20 cm thickness was removed to avoid the influence of weathering. The representativeness of the outcrops was verified by comparing their mechanical properties with core samples from the same formation ([Table S1](#)). In the center of the sample, a hole (12 mm in diameter and ca. 80 mm in depth) was drilled. A stainless-steel (SS) tube of 6 mm diameter, with a grooved fitting for O-ring sealing, was inserted as a simulating well. The void between the well and the hole was then sealed by epoxy resin. An as-prepared sample was shown in [Figure S2](#). During our experiments with the cylindrical samples, *in situ* AE monitoring was used as a direct and effective way to monitor the dynamic picture of the fracturing process. To this end, up to eight AE probes were assembled onto the surface of the samples, as showed in [Figure S3](#). This enables the collection of the acoustic signals emitted by the initiation and propagation of fractures. Raw data were then subjected to an iterative localization algorithm to obtain dynamic, visualized, and position-resolved results of the fracturing process. The assembled sample was then placed onto the tri-axial chamber base, and an injection fitting was connected to the simulated well. The assembly showed in

[Figure S3B](#) was then sealed in a hydraulic chamber. [Figure S4](#) shows the schematic diagram of the system. Into the hydraulic chamber, aviation hydraulic oil was pumped. After the temperature was controlled at 40°C by external heaters, the confining pressure was increased stepwise, and an additional load was applied in the axial direction. Fracturing of the samples was started by injection of either CO<sub>2</sub> (pre-heated to 40°C) or water into the well bore until drastic pressure drop was observed, which indicates either shale breakthrough or seal failure due to extremely high IPs (note: for CO<sub>2</sub> fracturing, a pre-injection stage with lower pressure ramping was used).

## Large-Scale Tri-axial Fracturing

Large shale outcrop samples were collected from Lower Silurian Longmaxi Formation in Changning County, Sichuan Province ([Figure S12](#)). [Table S3](#) shows the general properties of the samples. After removing the weathering layer, samples were processed into cubes of 300 × 300 × 300 mm in size. At the center of each cube, a hole with a diameter of 20 mm and a depth of 170 mm was drilled parallel to the bedding planes, and an SS tube (12 mm o.d.) was inserted as a simulating horizontal well and sealed similar to the cylindrical samples. A 1/8 inch tube was then welded and connected to an injection pump. [Figure S13A](#) shows the flowchart of the fracturing facility. A prepared sample was loaded into the tri-axial enclosure, and confining pressure was

gradually applied to levels of roughly one third of the reservoir conditions. After the loading was stabilized, water or CO<sub>2</sub> was injected with a programmed manner until sample breakthrough was achieved. The post-fractured samples were then characterized by 3D scanning via an EinScan S instrument. This method provides 3D coordinates of the breakthrough surface with a 0.1 mm resolution, and thus the digitalized samples can be reconstructed, and the fracturing-induced breakthrough surface areas can be calculated.

## Field Testing

In the field testing, liquid CO<sub>2</sub> was first injected via a pre-cooled mixing unit and pipes (0°C ± 10°C). During this process, leak tests were performed by pressure pulse to higher than 30 MPa. After the fracturing process, a custom-made tri-block copolymer bearing a perfluorinated carbon chain, sulfonate group, and styrene backbone was added (2 wt %, with <5 wt % acetone as a co-solvent) as a thickener. Because of the twinning and self-assembly of the polymer chain in CO<sub>2</sub>, the viscosity of the fluid can be effectively increased to ca. 2 cP. This property allowed good transportation of proppants in the fluid, which is a very important concern for the practical application of CO<sub>2</sub> fracturing. After the operation, the injection well was closed for days before production was initiated.

## ABAQUS Simulation

A numerical model in ABAQUS simulation was established by the fluid-solid coupling analysis module and cohesive element of ABAQUS. The natural fracture model was generated by Python with a cluster of conjugate natural cracks of 30° in dip angle. For the simulation with different fluids, pumping rates were kept identical while viscosity and leak-off coefficient were varied (see [Supplemental Information 8](#) and [Table S6](#) therein for detailed simulation parameters and source code).

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Conceptualization, W.W. and N.S.; Methodology, X.S., N.S., Y.G., and X.C.; Investigation, X.S., W.Y., W.C., G.S., Y.G., L.W., Jin Z., Jinhui Z., Xiaofeng L., Jun Z., and Z.X.; Resources, W.W., Z.T., and Xiao L.; Writing – Original Draft, N.S., X.S., Y.G., and Jin.Z.; Writing – Review & Editing, N.S., X.S., Y.G., and Jin.Z.; Funding Acquisition, W.W. and Xiao L.; Supervision, W.W. and Xiao L.

## Declaration of Interests

The authors declare no competing interests.

# Supplemental Information




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